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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-713-B1)

The Application of:

Chad A. Mirkin, et al. )  
Serial No.: 09/923,625 / ) Examiner: J. Riley  
Filed: August 7, 2001 ) Group Art Unit: 1656  
For: NANOPARTICLES HAVING ) Confirmation No: 2286  
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**TRANSMITTAL LETTER**

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

**Att: Customer Service Center  
Initial Patent Examination Division**

Sir:

In regard to the above identified application.

1. We are transmitting herewith the attached:

- a) Response to Notice to File Corrected Application Papers dated 9/26/01;
- b) Paper Copy and 3.5" Diskette Containing Sequence Listing;
- c) Copy of Notice to File Corrected Application Papers dated 9/26/01;
- d) Formal Drawings Corresponding to Figures 1-24 (26 sheets);
- e) Two-Month (2) Extension of Time; and
- f) Return Postcard

2. With respect to fees:

- a) A check in the amount of \$200.00 is enclosed.
- b) General Authorization: Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.10: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231 on this 11 day of January, 2002 under the Express Mail label No. EL904284832US.

Date: 1/15/02

Respectfully submitted,

Emily Miao  
Registration No. 35,285



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

O P E R A T I O N S	APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
	109/923,625	08/07/2001	Chad A. Mirkin	00-713-B1
<b>JAN 15 2002</b> <b>PATENT &amp; TRADEMARK OFFICE</b>				
<b>CONFIRMATION NO. 2286</b> <b>FORMALITIES LETTER</b>				
 *OC000000006792756*				

Emily Miao  
McDowell Boehnen Hulbert & Berghoff  
32nd Floor  
300 S. Wacker Drive  
Chicago, IL 60606

Date Mailed: 09/26/2001

**NOTICE TO FILE CORRECTED APPLICATION PAPERS**

***Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
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*MAR*  
*Customer Service Center*  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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